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## UNITED STATES PATENT AND TRADEMARK OFFICE

APR 30 2002

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER

10/071,873

FILING/RECEIPT DATE

02/08/2002

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

E00378.70179/JHM/DPM

23628  
**WOLF GREENFIELD & SACKS, PC**  
 FEDERAL RESERVE PLAZA  
 600 ATLANTIC AVENUE  
 BOSTON, MA 02210-2211

CONFIRMATION NO. 8737  
**FORMALITIES LETTER**



\*OC000000007566878\*

Date Mailed: 03/01/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)***Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$888.
  - \$468 for 26 total claims over 20.
  - \$420 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1758.**

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05/02/2002 YPULITE1 00000090 501127

740.00	CH
420.00	CH
468.00	CH
130.00	CH
01 FC:101	
02 FC:102	
03 FC:103	
04 FC:104	

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



05 01 02  
MPS

Express Mail Label No.: EL 953 480 175 US

Date of Deposit: April 30, 2002

Attorney's Docket No.: E00378.70179/JHM/DPM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE #3

Applicant: James Richmond et al. 142  
Serial No: 10/071,873  
Filed: February 8, 2002  
For: CONTROLLING USAGE OF NETWORK RESOURCES BY A USER  
AT THE USER'S ENTRY POINT TO A COMMUNICATIONS  
NETWORK BASED ON AN IDENTITY OF THE USER  
Confirmation No.: 8737  
Examiner: Not Yet Assigned  
Art Unit: 2661

**BOX: MISSING PARTS**

Commissioner For Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated March 1, 2002, transmitted herewith are the following document(s):

- Notice to File Missing Parts, Part 2
- Executed Declaration
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is enclosed. The Commissioner is hereby authorized and instructed to charge the fee of \$1758 to Deposit Account No. 50/1127. If the fee is insufficient, the Commissioner is hereby authorized to charge the balance to Deposit Account No. 50/1127. A duplicate of this sheet is enclosed.

Respectfully submitted,  
*James Richmond et al., Applicant(s)*



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Attorney for Applicant(s)

Docket No. E00378.70179/JHM/DPM

Date: April 30, 2002

xMay 1, 2002x